

Caragonne and Associates, LLC.

Technical Information Services
Life Care Planning

Alternative Designs for Access
Case Management

EDUCATIONAL BACKGROUND

Southeast Missouri State University, Cape Girardeau, MO. 1968. Bachelor of Science, Psychology.

University of Houston, Houston, TX. 1972. Master of Science, Social Work.

University of Texas at Austin, Austin, TX. 1980. Doctor of Philosophy in Social Work. Dissertation Topic: An Analysis of the Function of the Case Manager in Four Mental Health Social Service Settings.

Commission on Health Care Certification, Midlothian, VA. May, 1996. Life Care Planning Certificate #11.

Commission on Health Care Re-Certification for Life Care Planning. Midlothian, VA. #1 May 1999, #2 November 2002, #3 March 2006, #4 November 2010. The current Life Care Plan Certification is valid through November 2015.

PROFESSIONAL EXPERIENCE

Caragonne and Associates, LLC. Laredo, TX and Ajijic, MX, President.

Caragonne and Associates, LLC, provides comprehensive long-term planning and technology services for persons with disabilities. Four services are offered:

Long-Term, or, Life Care Planning Services: International and United States

Caragonne and Associates, LLC, creates life care plans ("LCPs") to identify and document all services needed by a person with disabilities. The LCP also portrays the lifetime costs of these services in a set of detailed Cost Charts. All plans are developed with direct participation and involvement of the consumer's treating specialists, therapists, educators, and family members; all persons germane to the consumer's safety, rehabilitation, longevity, and independence. Plan development rests on careful data-based planning processes.



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The sections of an LCP, when developed by Caragonne and Associates, LLC, are described below:

An *LCP Narrative* is developed which portrays the circumstances of the injury, a history of acute and post-acute hospital services, rehabilitation services, a social, educational, and work history, pre and post injury functioning, current service providers, and all functional obstacles that result from the injury.

A *Methodology Section* is created that identifies dates of referral and work requested, all materials reviewed by date, all interviews held by date, the participants involved and their conclusions. All medical records cited in the narrative are cross-referenced. Arguments made for recommendations are supported with citations derived from a search of peer-reviewed medical literature. Standards followed in plan development are identified.

A section on *Clinical Observations and Home Visit* is also included in the Plan. The home visit completed is summarized. This aspect of the Plan identifies all barriers to access in the home, all equipment, supplies, adaptive transportation, prescribed medications, wheelchairs and other mobility devices relied upon, architectural modifications completed, and clinical functioning of the individual and their family members. Needs for case management are also assessed. Future risks to health and medical complications attendant to the disability are defined as are the potential costs of treating these conditions.

A *Recommendations* section defines each resource and the rationale for its use. Services provided since the injury are discussed as are resources needed but unavailable. Services needed by family members which result from the effects of the injury are also included. Detailed recommendations are advanced for all services required and the medical foundation for each provided. Case management activities required to identify, secure, locate and retain needed services over the life of the consumer are portrayed. If required, case management services are recommended, located and priced in the local community. These services are designed to help individual and family members identify, locate, secure and retain all services needed. The model of case management recommended at Caragonne and Associates orchestrates the activities of all providers needed by the person--independent of auspice--so that gaps, disruptions and discontinuities in service are eliminated; resources located at the point they are needed; health, safety, and community functioning ensured. The timing and costs for updating the Life Care Plan and for developing a Special Needs Trust are also defined.

Services and resources required to mitigate the disabling effects of catastrophic injury are delineated in a *Cost Chart* section as are their annual and life-time costs. Life-long financial resources required are presented in individual Cost Charts summaries. The section on *Cost Charts* first divide the resources recommended by category: medical, therapies, community residential supports, laboratory studies, adapted transportation,

architectural modifications, etc. Within each sub-section, individual services are catalogued as to type, purpose, start and stop dates for use, a representative provider from which the service can be secured, the unit and annualized cost of each resource, footnotes substantiating the cost of each, footnotes from peer-reviewed literature describing the use of the resource, whether the resource is to be used one-time only or annually, and, time frames for use of the resource across the individual's life-span.

A one-page *Summary Lifetime Totals Cost Chart* organized by category and age ranges for resource categories lifetime is also developed for use by forensic economists.

Case Management and Plan Implementation Services A model of face-to-face, in-home assessment directs implementation of case management and assistive technology services at Caragonne and Associates, LLC. The model combines joint use of case management and assistive technology services to secure independence for a person with a disability. Case management is also used to assure that the individual identifies, enters, secures and remains connected to all resources they need to remain in the least restrictive environment possible in their community. Follow-up and advocacy are employed to assure that all problems related to service use are identified before they cause discontinuity of services. Case management coordination is used to ensure that all providers operate in unison at the point the client needs them, and, that services are delivered appropriate to the consumer's needs, wants, and preferences. Services focus on ensuring that services are priced competitively, and, cost over-runs and cost duplication eliminated to make effective use of funds available. Caragonne and Associates' also evaluate on-site the quality of services provided.

Referral Base Caragonne and Associates, LLC, receives referrals for long-term LCPs and case management services from:

Firms involved in the prosecution or defense of medical malpractice, product liability, or personal injury litigation

Family members or individuals with disabilities interested in determining resources needed, timing, use and cost of future resources

Trustees of Special Needs Trusts

Court systems

Independent living centers, rehabilitation facilities, and hospitals

Case management firms seeking to coordinate overall services for clients who reside in Mexico

Physicians, therapists, and providers of specialized services to persons with disabilities

Legal firms and insurance companies interested in costing out services for individuals residing in Latin America.

Technical Information Services and Products (“TIS”) TIS designs training workshops and a range of other products that support the work of life care planners. Selected products are reviewed below:

The LCP Toolkit Caragonne and Associates, LLC designed and distributes the LCP Toolkit at no cost to life care planners. The 2007 and 2008 versions of the “Life Care Planning Toolkit,” were comprised of a DVD containing 3,000 research abstracts of use to life care planners. The 2010 LCP Toolkit contained over 3,500 peer-reviewed abstracts, automated data collection forms, and “live” links to relevant research and cost databases used by life care planners. The 2010 version was distributed to participants at the Second Annual Invitational Leisure and Learn Workshop, held April 23-27, 2010 by Caragonne and Associates at their Ajijic, Jalisco, MX location. The third and most recent iteration of the 2011 LCP Toolkit was distributed to workshop participants at the Third Leisure and Learn Workshop, held in Ajijic, MX February 23-26, 2011.

The Toolkit is a compendium of various types of peer-reviewed research documents relevant to life care planners: automated data collection forms used by Caragonne and Associates, LLC, research studies, statistical abstracts, peer-reviewed literature, and extensive “live links” to relevant on-line databases. Use of the Toolkit supports the design and development of valid and reliable Life Care Plans. The DVD now contains over 4,500 abstracts of peer-reviewed medical literature to aid in evidence-based long-term planning. It is updated once per year contemporaneous with each Leisure and Learn Workshop.

Sections of the Toolkit include, but are not limited to: bibliographies focused on a range of disabling conditions, abstracts on architectural access and assistive technology, long-term planning and case management techniques, life expectancy, quality assurance frameworks, nursing home care, mental illness, substance abuse, attendant care, disability neglect and abuse and its precursors, care giver burnout and its effects on family functioning and mortality, and, inclusion of a Life Care Planning Form Set, designed by Caragonne and Associates, LLC. The form set can be used by life care planners to structure data collection and investigation in a long-term or life care planning process. This toolkit was first presented at a pre-conference for the International Association of Life Care Planners (“IARP”) Annual Forensic Conference October 2007, in Las Vegas, NV. The 2008 version was distributed at the IARP

Annual Forensic Conference October 2008 in Ft. Lauderdale, FL. The 2011 version of the LCP Toolkit was distributed at the Third Annual Leisure and Learn Conference in Ajijic, Jalisco, MX.

The Leisure and Learn Workshops Mini-conferences developed include the first Leisure and Learn Conference, a five-day Ajijic, Mexico-based conference held February 2007 and attended by United States life care planning experts. The Second Annual Invitational Leisure and Learn Workshop was held April 23 - April 27, 2010, in Ajijic, Jalisco, MX. The Third Annual Invitation Leisure and Learn Workshop was held February 23-26, 2011, again in Ajijic, Jalisco, MX. All workshop materials are designed by either Caragonne and Associates, LLC, or, by consultants presenting at the Workshop. The 2011 workshop program can be viewed at the Caragonne and Associates website, www.caragonne.com. (An icon containing workshop information is located in the lower left hand corner of the website, as is the registration icon). A third icon containing pictures of the 2010 workshop is also posted on the website.

The Quality Assurance Inventory The Quality Assurance Inventory (“QAI”) is a joint project with participants from the first Leisure and Learn Conference. This project has now resulted in completion of a 100 point automated Quality Assurance Inventory for use by life care planners during analysis and evaluation of Life Care Plans. The inventory was presented and initially tested at a pre-conference workshop at the IARP Forensic Conference in Ft. Lauderdale, FL, October 31, 2008. A second workshop, also completed as an October 2008 IARP Pre-Conference, focused on how to appropriately assess, prescribe, and evaluate use of assistive technology in life care plans. Further refinement of the QAI framework was completed and distributed to participants at the Third Annual Leisure and Learn Workshop February 2011.

The Quality Assurance and Standards Framework The first outcomes–not process-oriented–Quality Assurance and Standards Framework for Life Care Planning was designed in 2000 to assist planners in evaluating the quality of their work. This framework was distributed in 2000 at a conference held by Intelicus, a company which trains life care planners. The framework was later distributed by Intelicus to the nation-wide community of life care planners. The framework showed how to use of critical thinking skills when evaluating a life care plan against Daubert/Kumho standards for admission of non-scientific testimony. By using the framework, planners can assess the underlying logic and foundational adequacy of the Life Care Plans they develop, or, evaluate the logic and adequacy of methods used by opposing experts’ whose plans they have been asked to evaluate.

Workshops on Research Methods and Techniques The model of plan investigation and development taught by Caragonne and Associates, LLC, focuses on the need for life care planners to identify and cite all publications and sources to form an adequate foundational basis for recommendations made in their life care plans. This conceptual

framework for life care planning at Caragonne and Associates relies heavily on a model of trans-disciplinary collaboration supplemented by extensive use of peer-reviewed medical literature to inform the recommendations made. A workshop implemented to several audiences teaches the techniques and technology associated with design and implementation of a portable, productive and cost-effective “21st century” office. This session was part of the first Leisure and Learn workshop held in February 2008 and the IARP Annual Forensic Conference of November 2008. A lengthier three-hour version of this workshop was part of the Second and Third Leisure and Learn Workshops. An session on Research Techniques and Methods for Life Care Planners was also designed and taught during the First and Second Workshops.

2010 Determining Economic Damages Chapter: Data-based Life Care Planning in a “Portable, Paperless Work Environment Past writing projects have included a chapter on how to teach attorneys how to identify an ineffective or suspect Life Care Plan. This chapter--The Red Flags Concept--was first published in *Determining Economic Damages (“DED”)*, edited by Gerald Martin, Ph.D. A second chapter, recently completed for the latest DED, focuses on how Caragonne and Associates, LLC switched in 2005 to a “portable paper-less” office, and, how a data-based planning approach is used within the context of this office setting. This article was completed April 2010 for incorporation in the latest edition of the DED.

Alternative Designs for Access (ADA) / Workshops on Assistive Technology

ADA, another division of Caragonne and Associates, LLC, also provides comprehensive technology consulting services and workshops in the area of architectural access and job-site modification. The following range of services is available:

Direct assistive technology prescription, ordering, installation, and followup training in assistive technology services to family members and individuals with disabilities

Design and installation of architectural modifications to homes, work sites, public agencies, and assisted living facilities to assure access by persons with disabilities.

Training workshops in prescription, application and use of assistive technology

Education in all phases of the technology

Identification, prescription, and use of assistive technology in the service delivery process.

Technical Consultation on the American with Disabilities Act This sector of Caragonne and Associates, LLC provides technical consultation to individuals, families, educational settings, government agencies, private employers and hospitals on compliance with aspects of the Americans with Disabilities Act and with the accreditation standards mandated by the Commission on the Accreditation of Rehabilitation Facilities.

Work Site Modifications Over 300 task analyses and subsequent work site modifications have been completed at Caragonne to ensure return to work for persons with disabilities. In this process, individualized technology assessments are completed for consumers wishing to adapt and/or modify educational, home, leisure and work sites. This component works extensively with issues of access to employment, frequently fabricates both one-of-a-kind access methods designed to fit a particular consumer or agency's needs, and develops and implements housing modification services. (ADA) currently provides technology assessment services to consumers in elementary and middle schools, Department of Developmental Disabilities, Department of Mental Health, Departments of Vocational Rehabilitation, and hospitals and rehabilitation facilities.

The Automated Life Care Planning Form Set A set of automated Life Care Planning Forms has been completed which provides a structured means of data collection at each stage of the life care planning process. This Form Set now comprises one part of the 2011 LCP Toolkit. The Form Set is designed for installation on personal computers and automated for use by life care planners in a variety of data collection environments.

RESEARCH AND CONSULTING

The following listing illustrates the range of consulting and research performed prior to the formation of Caragonne and Associates, LLC:

Ellis and Associates, Chicago, IL., Curriculum Development and Company-wide Life Care Planning Contractual responsibilities: Completed all corporate-wide long-term Life Care Plans generated by Ellis and Associates between May 91 and May 92. Developed The Life Care/Long-Term Planning Curriculum for use by the Director of Medical Case Management Services, Ellis and Associates, Chicago Corporate Office. Developed Training Plan for systems-wide training of staff in long term life care planning processes. Topic areas for Life Care/Long-Term Planning Manual: purpose and use of long-term plans, stages of long-term planning, methods for quality review of work, and use of data collection protocols. Implemented Life Care Planning across all Ellis corporate locations, and, developed referral relationships with firms involved in medical malpractice, product liability, and personal injury litigation.

Office of Planning, Program and Evaluation, Office of Human Development Services, Department of Health and Human Services, (OHDS) Washington, DC, and, Department of Education, Office of Special Education, National Institute for Disability Rehabilitation Research. Continuation of work as peer reviewer for the Office of Human Development Services to evaluate grants submitted as part of OHDS nation-wide Coordinated Discretionary Funds Program Initiative. Services included review, analysis, and evaluation of applicant grant proposals submitted for funding from state, regional, and local service delivery agencies, with subsequent development of written reports for approval/disapproval of funds from OHDS.

Impact Independent Living Center, Alton, IL. Strategic Planning Project. For this consumer-directed independent living center, consulting work focused on assessing the technology needs of persons served by Impact. Disabilities of consumers with augmentative communication needs, deafness, hearing impairment, blindness, low vision, power mobility needs, manual mobility needs, and changing technology needs due to progressive neurological deterioration. Research method included completion of focus groups with eight separate consumer groups to identify the following: barriers to delivery of technology services, technology needs of consumers with disabilities, factors that influence assistive device selection and use, and policy and provider factors influencing receipt and use of technology services. Research report developed for Executive Director and Impact Board summarizing research results and detailing staffing and service delivery guidelines for development of a technology service delivery project at Impact. Completed report entitled: **Impact, Inc: A Summary of Focus Group Research and Recommendations for Development of Technology Service Delivery (1994).**

Work completed with various local entities: case management companies, insurance underwriters, law firms serving both the prosecution and defense of medical malpractice, product liability, and personal injury to assess long-term needs of persons with disabilities. Also developed report, **A Comparison between the Illinois Accessibility Code and the Building Owners and Managers Association (BOMA) Accessibility Guidelines (ADAAG)** for Keith Sofka, Illinois Assistive Technology Project, Springfield, IL. The report contrasted differences between the two codes relative to architectural accessibility requirements.

Connecticut Rehabilitation Engineering Center, Glastonbury, CT. Five Year National Demonstration Grant. Principal Investigator and Project Director. Directed operation of five year **\$550,000** per annum national research and

demonstration grant funded by the National Institute for Disability and Rehabilitation Research, ("NIDRR"), Washington, D.C. The grant started the Connecticut Rehabilitation Engineering Center, placed at the Institute for Human Resource Development, Glastonbury, CT. Grant funds were used to increase the technology delivery capacity of New England's network of private and public providers targeting assistive technology services to persons with disabilities. Research project and project development work was completed with the State Department of Human Resources, State Vocational Rehabilitation system, secondary and post-secondary educational settings, the Connecticut statewide system of independent living centers, Baruch Center for Visual Impairment, Baruch College, private rehabilitation centers, Newington Children's Hospital and Wallingford rehabilitation hospitals. A multi-disciplinary staff of eight (8) persons was supervised.

Ten research and demonstration projects were designed and developed to enhance capacity of Connecticut service providers to prescribe assistive in the following areas:

Blind/low vision technology for education and work

Assistive technology in pre-school to secondary educational settings

Assistive technology and architectural modifications for independence and home access

Work site modification to secure independence and employment

Creation of a no-cost accessible public domain software collection for persons with disabilities and the statewide system of Connecticut teachers.

Vocational Rehabilitation clients

Training to directors and staff of the Statewide Independent Living Centers

Focus Group research conducted to identify consumers' experience with prescription and delivery of technology services across eight (8) disabling conditions, and, identify consumer preferences for technology prescription, distribution, training and use.

Geographically accessible technology through use of Mobile Technology van, equipped with a technology workshop for fabricating technology adaptations on-site. throughout Connecticut.

Design of a Assistive Technology Quality Assurance Framework to use in assistive technology prescription and adoption to ensure that appropriate assessment, planning, design, fitting, training, and repair processes were adopted by technologists.

Model service delivery projects were developed for the following locations:

The Mobile Technology Services was an on-site technology service delivery unit funded within the New Haven Center for Independent Living center to increase independent living skills of persons with disabilities.

Research and consulting services to eight Vocational Rehabilitation offices within Connecticut to study the scope and extent of assistive technology use by vocational counselors in Connecticut.

Training for Vocational Rehabilitation staff in use of assistive technology as a support to securing employment for persons with disabilities.

K-12 projects in Connecticut elementary schools to train teachers in identifying need for assistive technology, assessing needs for technology, prescription and securing assistive technology for vision, communication, and mobility adaptations.

Design development and funding of an Adapted Computer Laboratory for disabled students at Southern Connecticut State University in New Haven, CT to teach adapted computing for greater access to education.

Joint curriculum development project with Baruch City College of New York, Adapting Computing Services for Visually Impaired for persons with blindness and low vision.

Convened statewide and regional task forces to identify technology needs of persons with various types of disabilities.

Creation of research report, **Planning an Assistive Technology Service Delivery Program (1989)** was submitted to NIDRR and nationally disseminated by the Institute.

Research studies, curricula and/or workshops for private employers, school teachers and counselors, parent-advocacy groups, disability rights groups, educators, and medical personnel.

Workshops were developed which included these areas of technology acquisition, prescription, use, and training:

Funding of Assistive Technology Devices

Accessibility of the Home and Work Site

Technology Information Resources and Databases

Accessible Day Care Settings

User Selection Criteria for Technology Devices for Persons with Spinal Cord Injury, Visual Impairment and Blindness, Speech and Hearing Impairment, Deafness and Traumatic Brain Injury

Case Management Research, Austin, TX. Case Management Services offered consultation to state and local agencies implementing system-wide case management services. Provided the following:

Applied research conducted with line and supervisory staff in state mental health, developmental disability, and city-wide integrated service delivery agencies. Research identified current scope of work performed, differences from a case management model of service, barriers to implementing case management, design and implementation of a consumer-based model of case management, identification of cost, training and support needs.

Task forces were developed in Texas, Connecticut, Mississippi, and Georgia to identify budget allocations needed to fund each setting, optimum case load sizes, scope of activities for case managers, optimum unit staffing levels, staff recruitment techniques and training needs for staff, means to assign high, moderate and low case management services, and, design of capitated budget methods for legislative review to fund and implement state-wide case management services.

Applied baseline research on long-term planning methods used and services provided by state social service agencies prior to system redesign efforts.

Curriculum design for training line-service and supervisory staff to implement and monitor the effect of case management services.

Development of quality assurance methods to evaluate the operation and impact of statewide case management.

Design, development and implementation of staff training workshops in methods for implementing and evaluating long-term case management services.

Technical assistance to state agencies when implementing statewide case management service to consumers with mental and developmental disabilities.

Consulting and research services were provided to the following agencies implementing case management services. The list is partial:

Texas Department of Mental Health and Mental Retardation, Austin, TX. Consultation to Commissioner's Office on design, development, and implementation of state-wide community-based case management system to reduce incidence of rehospitalization at State Mental Health facilities. Completed base-line research with direct service and administration staff in twenty-two centers and state institutions to design a statewide case management system. Report developed: **A Comparison of Case Management Work Activity and Traditional Work Activity in the Texas Department of Mental Health and Mental Retardation (1982)**. Assisted in development of capitation budget methodology to fund statewide case management services: (1985) which later supported funding of Texas' system of statewide case management (1986). Completed successful grant applications to Hogg and Meadows Foundations to fund and create the Case Management Task Force. Designed a PC-based Case Management Information System and identified all information and report requirements for the system. .

Provided technical consultation to Statewide Case Management Task Force to design system guidelines, determine training needs, system costs, identify persons requiring case management, personnel job descriptions, caseload screening, caseload sizes, and quality assurance framework to evaluate service process and outcome.

Arizona Department of Economic Security, Phoenix, AZ. Technical assistance in developmental disability case management system. Joint work with staff to design Case Management Assignment Protocol to review existing caseloads, assign level of case management for priority population clients.

Arizona Governor's Planning Council on Developmental Disability, Phoenix, AZ. Provided staff development workshops for direct service workers and

supervisors on theory, principles, operation, and design of case management systems.

Chicano Family Center, Houston, TX. Consultation provided over seven year period to complete applied research, fund-raising and development of grant proposals to Texas Commission on Alcohol and Drug Abuse and Hogg Foundation for Mental Health. Consultation provided to the Bicultural Treatment Advisory Committee and following monograph developed: **A Review of the Literature in Bicultural Intervention (1980)**, Chicano Training Center, Houston, TX. Consultation services included review of agency operations, assistance to develop long-term strategic plan for presentation to United Way, design of an Hispanic Early Family Intervention / Education and Support Project, project implementation, quality review of individual cases, consultative supervision to direct service workers who counseled families and teens affected by substance abuse. Design of an agency-wide quality assurance framework for use within substance abuse and family services.

Connecticut Department of Mental Health, Hartford, CT. Workshops and consultation regarding status of current state-wide case management system.

Georgia Department of Human Resources, Office of Developmental Disabilities, Atlanta, GA. Designed and implemented a state-wide evaluation of the Georgia Developmental Disability Case Management System. Completed all on-site data collection by interviews with direct service staff and supervisors. Review and analysis of existing documentation and cases served. Review of all work performed, and identification of training needs. Research resulted in a monograph: **The Georgia Department of Human Resources Developmental Disability System: Case Management System Evaluation (1984)**.

Georgia Department of Human Resources, Department of Mental Health, Atlanta, GA. Consultation to Case Management Task Force to develop all program specifications for statewide case management, capitation budget methodology for submittal to Health Care Financing Administration under Community Based Waiver. Participated in the design of a comprehensive self-paced training curricula for case managers and supervisors: **Mental Health Case Management Curricula (1985)**.

Mecklenburg County Human Services, Charlotte, NC. Participated in county-wide information requirements analysis and report development to design and install an integrated agency-wide information system. Tasks included review of agency operation and information needs in juvenile probation, child welfare, mental health, and design of county-wide quality assurance component.

National Council of Community Mental Health Centers, Bethesda, MD. Research and development to complete a review of the literature, annotated bibliography, survey research, and on-site technical assistance to four national mental health centers, and completed **Pre-Institute on Case Management: Issues in Systems Design for 1988 National Conference of Community Mental Centers**. Completed three-part publication: **Mental Illness and Substance Abuse: The Dually Diagnosed Client. I: A Critical Literature Review; II. Annotated Bibliography; III: Service Delivery Practices in Fifteen National Settings (1988)**. Monographs distributed nationally by the Council. Provided technical assistance through Council's Technical Assistance Project funded by the National Institute of Mental Health, Bethesda, MD.

Northampton County Human Services, Easton, PA. Review of county-wide integrated service system with on-site research and report development. The Final Report included current status of system, recommendations for service system redesign, implementation of systems-wide case management, review of existing information system and recommendations for development of county-wide automated integrated information system for line, managerial, and agency administrators.

Office of Human Development Services, Department of Health and Human Services, Washington, DC. Faculty for **National Invitational Conference on Services Integration (1986), Washington, DC**.

DOCTORAL STUDIES

SCHOOL OF SOCIAL WORK, UNIVERSITY OF TEXAS, AUSTIN, TX.

Completed course work with doctoral degree awarded August 1980. Employed part-time at Center for Social Work Research, School of Social Work, Austin, TX from September 1977 through June 1979. Completed three monographs which were distributed nationally jointly by the University of Texas and the Center for Social Work Research: **A Review and Analysis of Services Integration Settings (1978); An Annotated Bibliography of Services Integration Literature (1979)**, and **An Annotated Bibliography of Case Management Literature (1980)**. Published **Services Integration: Where Do We Stand?** in, *Journal of Health and Human Resources Administration*, Volume 1, Number 4, May 1979, Southern Public Administration Foundation, Montgomery, AL. Also participated in teaching Continuing Education workshops for public sector providers and administrators.

The dissertation research completed involved an analysis of four national case management settings serving persons with chronic mental illness. The research required design of all data collection protocols, on-site face-to-face data collection with

case managers, their supervisors and program directors in case management settings, and analysis of all data collected. The analysis evaluated case management system operations at line, managerial, administrative, and inter-agency levels in mental health Community Support Program sites. These service systems were responsible for designing long-term plans for persons with chronic mental illness, and, implementing these plans in the communities where their consumers lived. Work responsibilities for case managers included collecting and assessing client functioning, identifying all needed long-term resources, developing strategies to coordinate and monitor use of resources recommended, client follow up to assess services once received, and direct advocacy with community service providers to ensure persons served obtained and kept all resources needed.

Jointly designed successful application for and received three years of federal funding from the Office of Human Development Services, Office of Planning, Review and Evaluation Washington, D.C. This funding expanded previous data collection for the dissertation and supported completion of a national comparative study of the case management service delivery in twenty-two child welfare, developmental delay and cognitive impairment, physical disabilities, chronic mental illness, elderly, and multi-service agencies. Director and Principal Investigator of the Case Management Research Project, responsible for completion of a 522 person case management work study which reported on case management system operations in twenty-two (22) national agencies. Designed all data collection protocols, completed on-site interviews with service staff in participating agencies (Year I), recruited, trained and supervised research and field interview staff (Year II), designed and supervised on-site data collection, data analysis and wrote all summary research reports: **A Comparative Analysis of Case Management Work Activity in Twenty-Two Service Settings (Year I: 79-80; Year II: 80-81; Year III: 81-82)**, Office of Human Development Services, Office of Planning, Review and Evaluation, Washington, D.C.

EXPERIENCE AND EMPLOYMENT

Harris County Child Welfare, Houston, TX. Supervisor.

Responsible for protective services unit investigating child abuse and neglect. Oversaw operation of unit of eight (8) case managers investigating sexual and physical abuse and neglect. Staff provided investigation of complaints of abuse and neglect, individual and family counseling, service coordination with community agencies and long-term family counseling/intervention services. Designed and implemented a pilot unit restructuring project which achieved five objectives: 1) tripled the response time for completion of an abuse and neglect investigation, 2) reduced unit employee turnover by 65%, 3) doubled program productivity for completing investigations and beginning services, 4) tripled the numbers of persons served in family counseling, and 5) reduce unit operating costs by 30%.

Austin State School and Harris County Mental Health/Mental Retardation Authority (MHMRA), Houston, TX. Coordinator and Director of first county-wide Service/Referral Office for persons with mental retardation.

Jointly funded program between MHMRA and Austin State School. Initial program involved long-term discharge planning, implementation of community care plan, and counseling with individuals released into Houston-Harris County area from Austin State School. Program expanded after six months to create a unit responsible for county-wide registry of persons with developmental disabilities, implementation of services to persons with cognitive impairment, and creation of policies and procedures with Texas State Schools to increase the number of community placements.

Baylor College of Medicine, Psychology Department, Houston, TX. Research Assistant.

Responsible for experimental procedures and data analysis in behavior modification program for drinking disorders, while completing master's work at the University of Houston, Houston, TX. Completed case outreach and identification of all project subjects, completed all intake interviews with subjects prior to program entry, completed all experimental procedures required for weekly aversive/avoidance conditioning, and, summarized project results.

Cape Girardeau County Welfare, Cape Girardeau, MO. Case Manager.

Responsible for providing adult protective services to 225 elderly disabled and non-disabled adults. Provided intake interviews, eligibility determination for services, creation of long-term plans, and, on-going service delivery. Responsible for intensive bi-monthly social services to 100 most-in-need persons on the caseload to assist them to identify, locate, secure and keep all resources they needed, identified appropriate community services and public resources, coordinated services with other community providers, provided in-home family support and counseling, and provided advocacy with community agencies to ensure receipt of needed services.

FUND-RAISING AND GRANT WRITING

The following grant writing activities were completed:

Secured and retained three year, over **\$200,000**, survey research grant funds from the Office of Human Development Services, Department of Health and Human Services, Washington, DC.

Secured **\$125,000** per annum in funds from the Texas Commission on Alcohol and Drug Abuse, Austin, TX, and the Hogg Foundation for Mental Health for Chicano Family Center, Houston, TX.

Secured **\$120,000** in funds for the Texas Department of Mental Health and Mental Retardation from the Hogg Foundation, Austin, TX and the Meadows Foundation, Ft. Worth, TX to design, test, implement and train case managers in use of a PC-based case management information system for use by line-service workers.

Increased 1988 grant award from the National Institute for Rehabilitation and Research (NIDRR), Washington, DC from an initial award level of **\$250,000** per year to **\$550,000** per year for the Connecticut Rehabilitation Engineering Center for four year period.

Secured a total of **\$180,000** in funds from New Haven Foundation, New Haven, CT; the Hartford Foundation, Hartford, CT; and The Dole Foundation, Washington, DC. for two year period.

Provided consultation to University of Missouri at Kansas City during development of their successful **\$2.4** million statewide systems grant submitted November 1990 to the National Institute of Disability and Rehabilitation Research, Washington, DC.

FIELD PLACEMENTS AND SUPERVISION OF DOCTORAL AND MASTERS LEVEL STUDENTS

Instructor. Worden School of Social Work, San Antonio, TX, 1988.

Field Instructor. Department of Educational Psychology. Supervised four doctoral level students in Educational Psychology, University of Texas at Austin, Austin, TX, 1980-1982.

Field Instructor. School of Social Work, University of Connecticut, Storrs, CT, 1989-90.

Faculty. Intelicus/Univ. of Florida Advanced Training Workshop in Life Care Planning, 2001.

Faculty. Capital University College of Law, Life Care Planning Program, January 2006.

WORKSHOP PRESENTATIONS OFFERED IN TECHNOLOGY, CASE MANAGEMENT AND LIFE CARE PLANNING

Workshops and/or key-note presentations have been developed and implemented in the following areas:

Case Management Theory and Practice

Clinical Issues in Case Management Service Delivery

Implementation Structures in Case Management Systems Development

Technology Prescription, Consumer Training, and Service Delivery Processes

Design and Use of Quality Assurance Frameworks in Long-Term Planning and Case Management

Design, Use and Monitoring of Quality Assurance Frameworks in Technology Delivery Systems

Design and Development of Case Management Form Sets for Collecting Information on Operation of Case Management Systems

Design, Development and Management of Consumer-Responsive Service Delivery Systems

Device Selection Criteria in Technology for Persons with Blindness, Visual Impairment, Deafness and Hearing Impairment, Manual and Power Mobility Needs, Augmentative Communication Needs, and Changing Technology Needs

Life Care Planning Processes and Methods

Interface Between Vocational and Economic Disciplines and the Use of Life Care Planning Processes and Methods

Abandoning the Use of the Hedonic Damages Concept

The Concept of Lost Household Services

CONTINUING EDUCATION AND TRAINING RECEIVED IN LIFE CARE PLANNING

Certification #11 and Recertification Activities. Awarded April 1996 by the Commission on Health Care Certification, Midlothian, VA. Credential awarded after completing 160 hours of training and a certification examination. Re-certification occurred in April 1999, November 2002, March 2006, and November 2010. The credential was renewed after documenting scholarly research papers, workshops attended, and workshops conducted during the three year period from 1996 to 1999, 1999 to 2002, 2002 to 2006, 2006 to 2010.

Certificate of Completion of Course of Study in Life Care Planning. Awarded May 1995, sponsored by the University of Florida, Department of Rehabilitation Counseling. Award of the Life Care Planning certificate documented completion of the following 160 hours of course work:

Track 1: Advanced Life Care Planning for Catastrophic Case Management. Rehabilitation Training Institute. Atlanta, Georgia. October 29 - November 1, 1993.

Track 2: Advanced Life Care Planning. Rehabilitation Training Institute. Chicago, IL. February 26 - 28, 1995.

Track 3: Advanced Life Care Planning. The Role of the Legal Nurse Consultant. Rehabilitation Training Institute. New Orleans, LA. April 5 - 7, 1995.

Track 4: Advanced Life Care Planning for Adults with Traumatic Brain Injury. Rehabilitation Training Institute. Baltimore, Maryland. August 5, 1994 - August 7, 1994.

Track 5: Advanced Life Care Planning for Spinal Cord Injury. Rehabilitation Training Institute. Atlanta, GA. November 2 - November 4, 1994.

Track 6: Advanced Life Care Planning for Pediatric Brain Injury. Rehabilitation Training Institute. Kansas City, MO. January 26 - 28, 1995.

Track 7: Advanced Life Care Planning for Orthopedic Impairments. Rehabilitation Training Institute. Orlando, FL. May 22 - 24, 1995.

Track 8: Advanced Life Care Planning Practices. Rehabilitation Training Institute. Orlando, FL. May 25 - 26, 1995.

Refresher Course in Life Care Planning. Rehabilitation Training Institute. Atlanta, GA. March 19, 1996.

OTHER TRAINING RECEIVED

Liberator Augmentative Communication Device Training. Prentke-Romich Company. St. Louis, MO. September 12 - September 13, 1994.

Rehabilitation Engineering Society of North America Annual Conference. Assistive Technology Credentialing Review Course. Salt Lake City, UT. June 8 - 11, 1996.

Characteristics of Environmental Control Technologies
Characteristics of Sensory Technologies
Characteristics of Augmentative Communication Technologies
Characteristics of Computer Access Technologies
Characteristics of Job Accommodation and Transportation Technologies
Characteristics of Seating and Mobility Technologies
Clinical Fundamentals of Technology
Professional Conduct
Controversial Issues in Sitting Posture
Functional Electrical Stimulation (FES)
Clinical Considerations in the Selection of Commercial Wheelchair Backs
Technological Interventions in the Nursing Home

3rd Annual Life Care Planning Conference. Intelicus/International Academy of Life Care Planners, Atlanta, GA. November 20 - 21, 1998.

4th Annual Life Care Planning Conference. Intelicus/International Academy of Life Care Planners, New Orleans, LA. November 5 - 6, 1999.

Life Care Planning Summit 2000. University of Florida/Intelicus in Association with the National Association of Rehabilitation Professionals in the Private Sector. Dallas, TX. April 12, 2000.

Forensic Workshop. International Association of Rehabilitation Professionals. Las Vegas, NV. December 1 - 2, 2000.

Annual Conference. American Rehabilitation Economics Association. May 17-20, 2000.

Annual Conference. American Rehabilitation Economics Association. May 15, 2001. Reno, NV.

Annual Conference. American Rehabilitation Economics Association. May 15, 2002. Reno, NV.

Annual Conference. American Rehabilitation Economics Association. May 15, 2003. Ft. Worth, TX.

National Association of Forensic Economists Regional Meeting. October 14-15, 2004. Memphis, TN.

WILG Conference. Washington, DC, April 10-12, 2005, Washington, DC.

Annual Conference. American Rehabilitation Economics Association. May 13-15, 2005. Reno, NV.

Annual Conference. Rehabilitation Engineering Society of North America. June 24 - 27, 2005, Atlanta, GA.

Congress of Life Care Planning. Standards Development. Capital University Law School/Commission on Health Care Certification. March 21 - 24, 2007, Columbus, OH.

International Association of Rehabilitation Professionals, Annual Forensic Conference. November 1 - 3, 2007, Las Vegas, NV.

Annual Conference. American Rehabilitation Economics Association. May 15-17, 2008. Pittsburgh, PA.

International Association of Rehabilitation Professionals, Annual Forensic Conference. October 31 - November 3, 2008, Ft. Lauderdale, FL.

American Board of Vocational Experts, Annual Forensic Conference, February 2010, San Diego, CA.

American Rehabilitation Economics Association, Annual Conference, June 16-18, 2010, Chicago, IL.

American Association of Nurse Life Care Planners Annual Conference, October 7 - 11, 2010, Boston, MA.

International Association of Rehabilitation Professionals, Annual Forensic Conference. November 4 - November 8, 2010. New Orleans, LA.

TEACHING WORKSHOPS PROVIDED

Faculty. National Invitational Conference on Services Integration. Department of Health and Human Services. Office of Human Development Services, Washington, DC. 1986.

Instructor. Pre-Institute on Case Management: Issues in Mental Health Systems Design. National 1988 Annual Conference of Community Mental Centers. Boston, MA. 1988.

Instructor. The Misapplication of the Berla Scale in Quantifying Hedonic Damages: A Case Management Perspective. Special Issues in Harm Analysis. Midwest Economics Association, Indianapolis, IN. April 2, 1993.

Instructor. The Interface Between a Forensic Economist and a Vocational Expert in a Personal Injury Case. Problems in Forensic Economics. Missouri Valley Economics Association, St. Louis, MO. February 25, 1994.

Panel Member. Hedonic Damage Estimates in Personal Injury Analysis: Are the Benchmarks Meaningless? Problems in Forensic Economics. Midwest Economics Association. Chicago, IL. March 25, 1994.

Instructor. Issues in Developing and Maintaining a Competitive Approach to Practice. National Association of Rehabilitation Professionals in the Private Sector. Annual National Conference. Chicago, IL. April 9, 1994.

Instructor. An Interdisciplinary Approach to A Personal Injury Loss: A Case Study. Western Economic Association. Annual Meeting. Vancouver, British Columbia. June 30 - July 2, 1994.

Keynote Speaker. The Use of Life Care Planning. American Association of Legal Nurse Consultants. Keynote Address: Midwest Region Conference. St. Louis Missouri: October 7, 1994.

Instructor. Long-Term Life Care Planning for Jason Smith: A Child's Disabling Injury. Missouri Valley Economic Association. Annual Meeting. Kansas City, Mo. February 24, 1995.

Instructor. Life Care Planning/Conceptual Frameworks, Methods and Processes. Blue Cross/Blue Shield Training Conference. Blue Cross/Blue Shield. Kansas, MO. June 7, 1995.

Instructor. Medical Malpractice and the Law. Continuing Legal Education. University of Missouri/Kansas City. St. Louis, MO. September 15, 1995.

Instructor. Life Care Planning for Catastrophic Injury. Continuing Legal Education. University of Missouri/Kansas City. St. Louis, MO. November 30, 1995.

Instructor. The Need to Abandon the Concept of Hedonic Damages. Missouri Valley Economic Association. Annual Meeting. Memphis, TN. March 7-9, 1996.

Instructor. Advancing the Concept of Loss of Ability to Perform Usual Functions of Life. Midwest Economics Association. Chicago, IL. March 21-23, 1996.

Instructor. Assessing Lost Functional Capabilities. Missouri Valley Economic Association, Annual Meeting. St. Louis, MO. February 27-28, 1997.

Instructor. Quality of Life Issues in Damage Assessments. Western Economic Association. Annual Meeting. Seattle, WA. July 10-13, 1997.

Instructor. The Role of the Assistive Technologist. Western Economics Association. Annual Meeting. Seattle, WA. July 10-13, 1997.

Panel Member. The Use of the Concept of Lost Household Services. Western Economics Association. Annual Meeting. Lake Tahoe, NE. June 28-30, 1998.

Instructor. Use of Technology for Persons with Disability. Southwest Cerebral Palsy Association. Edwardsville, IL. September 24, 1998.

Panel Member. The Interface Between a Life Care Planner and a Forensic Economist. International Atlantic Economic Society. Montreal, Canada. October 9 - 10, 1999.

Instructor. An Analysis of Studies Using the Whole-Time Concept: Peer Review of the Dollar Value of a Day Database and the National Human Activity Studies Database. Southeast Economic Association, New Orleans, LA. November 21-22, 1999.

Instructor. The Life Care Planner's Role in Working with Economists in Civil Litigation Missouri Valley Economics Association, St. Louis, MO. February 25, 2000.

Instructor. Minimal Standards for Life Care Development: Use of Quality Assurance Framework. Annual Meeting: American Board of Disability Analysts. Pensacola, FL. February 26-27, 2000.

Panel Member. Cross-Disciplinary Perspective and Issues in Civil Litigation. Annual Meeting: American Academy of Financial and Economic Experts. Las Vegas, NV. March 28-April 1, 2000.

Instructor. The Case Management Model of Intervention: Principles and Evaluative Guidelines for Establishing a Long-Term Case Management Plan. Annual Meeting. American Rehabilitation Economics Association. Reno, NV. May 17-20, 2000.

Panel Member. Collaborative Issues: Life Care Planners and Valuation Experts in Civil Litigation. American Rehabilitation Economics Association. Reno, NV. May 17 - 20, 2000.

Chair. Roundtable on Changing Reports of Related Experts in Damage Analysis. International Atlantic Economic Society. Charleston, South Carolina. October 15-16, 2000.

Discussant. Daubert Issues in Time-Series Analysis by Pickett, JC. International Atlantic Economic Society. Charleston, South Carolina. October 15-16, 2000.

Panel Member. Peer Review and Quality Assurance Frameworks for Life Care Planning in a Daubert Context. Panel Member. International Association of Rehabilitation Professionals. Las Vegas, NV. December 1-2, 2000.

Instructor. Peer Review. International Winter Meeting. National Association of Forensic Economists. Puerto Vallarta, MX. February 21, 2001.

Faculty. A Standards and Peer Review Framework for Life Care Planning in a Daubert Context. Advanced Forensic Workshop for Life Care Planners. Intelicus/University of Florida. Orlando, FL. March 23-24, 2001.

Instructor. Pre-Conference Workshop on Daubert Issues and Foundation for Testimony. American Rehabilitation Economics Association. Reno, NV. May 16-19, 2001.

Instructor. Evaluating the Work of Life Care Planners: The Red Flag Concept. American Rehabilitation Economics Association. Reno, NV. May 16-19, 2001.

Panel Member. Payment Procedures in a Consulting Practice. Western Economic Association. San Francisco, CA. July 4-7, 2001.

Panel Member. Defense Ethics. Western Economic Association. San Francisco, CA. July 4-7, 2001.

Instructor. Critiquing the Life Care Plans of Opposing Experts. Pre-Conference Seminar. American Board of Vocational Experts Spring 2002 Conference. Las Vegas, NV. March 14-17, 2002.

Instructor. Peer Review in a Daubert Context. American Rehabilitation Economics Association 2002 Meeting. Reno, NV. March 15-19, 2002.

Instructor. The Life Care Planning Process. Pre-Conference Seminar. American Rehabilitation Economics Association Annual 2003 Meeting. Ft. Worth, TX. May 15-18, 2003.

Panel Member. Extending the Red Flags Concept to Vocational Plans. American Rehabilitation Economics Association Annual 2003 Meeting. Ft. Worth, TX. May 15-18, 2003.

Panel Member. A Comparative Analysis of Four Educational Venues for Life Care Planning Certification. National Association of Forensic Economics, Memphis, TN. October 14-15, 2004.

Faculty. Research Methods in Life Care Planning. Capital University College of Law. Columbus, OH. January 7-9, 2006.

Instructor. An Analysis of the Research Basis for the Certification Process in Life Care Planning. American Rehabilitation Economics Association, Reno, NV. May 14, 2005.

Pre-Conference Faculty. Research, Reliability and Rules. International Association of Rehabilitation Professionals. Las Vegas, NV. November 1, 2007.

Faculty. Conference Host and Curriculum Designer. First Annual Invitational Leisure and Learn Workshop. Caragonne and Associates, LLC and Capital University Law School, Ajijic, Jalisco, MX. February 13-18, 2008.

Faculty. Pre-Conference, Life Care Planning Boot Camp, Annual Meeting, American Rehabilitation Economics Association, Pittsburgh, PA, May 15, 2008.

Faculty. Designing a Quality Assurance Inventory for Use by Life Care Planners, International Association of Rehabilitation Professionals, Ft. Lauderdale, FL, October 30, 2008.

Faculty. Conference Host and Curriculum Designer. Second Annual Invitational Leisure and Learn Workshop. Caragonne and Associates, LLC, Ajijic, Jalisco, MX. April 23-27, 2010.

Faculty. A Data-Based and Research Approach to Life Care Planning. Bi-Annual Forensic Conference. American Board of Vocational Experts. San Diego, CA. March 26-28, 2010.

Faculty. Conducting Life Care Planning in Mexican and Latin America. Annual Conference, American Rehabilitation Economics Association. Chicago, IL. June 17-20, 2010.

Faculty. Conducting Life Care Planning in Mexican and Latin America. Annual Forensic Conference, International Association of Rehabilitation Professionals. New Orleans, LA. November 3-6, 2010.

Conference Host, Faculty and Curriculum Designer. Third Annual Invitational Leisure and Learn Conference, Ajijic, Jalisco, MX. February 23-26, 2011.

(Forthcoming) **Faculty. International Life Care Planning.** American Board of Vocational Experts. Orlando, FL. March 25-27, 2011.

PUBLICATIONS

Caragonne, Penelope, M.S.W. A Review and Analysis of Services Integration Settings, **Center for Social Work Research**, University of Texas at Austin, Austin, TX. 1978.

Caragonne, Penelope, M.S.W. An Annotated Bibliography of Services Integration Literature, **Center for Social Work Research**, University of Texas at Austin, Austin, TX. 1979.

Caragonne, Penelope, M.S.W. An Annotated Bibliography of Case Management Literature, **Center for Social Work Research**, University of Texas at Austin, Austin, TX. 1980.

Caragonne, Penelope, M.S.W. A Comparative Analysis of Case Management Work Activity in Twenty-Two Service Settings: Year I: 1979 - 1980. **Department of Health and Human Services, Office of Human Development Services, Office of Planning, Review and Evaluation**, Washington, DC. 1980.

Caragonne, Penelope, M.S.W. A Review of the Literature in Bicultural Intervention. **Chicano Training Center**, Houston, TX. 1980.

Caragonne, Penelope, Ph.D. A Comparative Analysis of Case Management Work Activity in Four Community Support Mental Health Programs. (Dissertation) **University of Texas at Austin, School of Social Work**, Austin, TX. 1980.

Caragonne, Penelope, Ph.D. A Comparative Analysis of Case Management Work Activity in Twenty-Two Service Settings: Year II: 1980 - 81. **Department of Health and Human Services, Office of Human Development Services, Office of Planning, Review and Evaluation**, Washington, DC. 1981.

Caragonne, Penelope, Ph.D. Final Report: A Comparative Analysis of Case Management Work Activity in Twenty-Two Service Settings: Years I - III: 1979 - 1982. **Department of Health and Human Services, Office of Human Development Services, Office of Planning, Review and Evaluation**, Washington, DC, 1982.

Caragonne, Penelope, Ph.D. A Comparison of Case Management Work Activity and Traditional Work Activity in Twenty-Two Mental Health/Mental Retardation Setting within the Texas Department of Mental Health and Mental Retardation. Distributed by the **Texas Department of Mental Health and Mental Retardation**, Austin, TX, 1982.

Caragonne, Penelope, Ph.D. The Georgia Department of Human Resources Developmental Disability System: Case Management System Evaluation. **Georgia Department of Human Resources, Office of Developmental Disabilities**, Atlanta, GA, 1984.

Caragonne, Penelope, Ph.D. Mental Health Case Management Curricula. Distributed by the **Georgia Department of Human Resources**, Department of Mental Health, Atlanta, GA, 1985.

Caragonne, Penelope, Ph.D. Mental Illness and Substance Abuse: The Dually Diagnosed Client. I: A Critical Literature Review and Analysis of the Literature. **National Community Mental Health Centers**, Bethesda, MD, 1988.

Caragonne, Penelope, Ph.D. Mental Illness and Substance Abuse: The Dually Diagnosed Client: An Annotated Bibliography. **National Community Mental Health Centers**, Bethesda, MD, 1988.

Caragonne, Penelope, Ph.D. Serving the Mentally Ill and Substance Abusing Client: An Analysis of Service Delivery Practices in Fifteen National Mental Health Settings. **National Community Mental Health Centers**, Bethesda, MD, 1988.

Caragonne, Penelope, Ph.D. Planning a Statewide Assistive Technology Service Delivery Program. **Department of Education, Office of Special Education, National Institute on Disability and Rehabilitation Research**, Washington, DC, 1989.

Caragonne, Penelope, Ph.D. Life Care/Long-Term Planning Curriculum and Training Manual. **Ellis and Associates**, Chicago, IL, 1992.

Caragonne, Penelope, Ph.D. A Summary of Focus Group Research and Recommendations for Development of Technology Service Delivery Within Impact, Inc., an Independent Living Center. **Impact Center for Independent Living**, Alton, IL, 1994.

PEER-REVIEWED PUBLICATIONS

Caragonne, Penelope, M.S.W. Services Integration: Where Do We Stand? **Journal of Health and Human Resources Administration**, Volume 1, Number 4, May 1979, Southern Public Administration Foundation, Montgomery, AL.

Caragonne, Penelope, Ph.D. The Use of the Berla Scale in Quantifying Hedonic Damages: A Case Management Perspective. 7 **Journal of Forensic Economics**. December, 1993. PP. 47-67.

Caragonne, Penelope, Ph.D. The Use of the Berla Scale in Quantifying Hedonic Damages: A Case Management Perspective. Reprinted in the **Defense Law Journal**. December, 1994.

Caragonne, Penelope, Ph.D., Sofka, Keith, ATP, Ireland, Thomas R., Ph.D. An Examination of Historical net Discount Rates Relative to Medical Care Costs. **Journal of Legal Economics**. Fall, 2000. 10(2). American Academy of Economic and Financial Experts.

Caragonne, Penelope, Ph.D. The Importance of Reliable Measurement: The Whole-Time Concept and Admissibility of Testimony Under Daubert Standards. **Journal of Forensic Economics**. Winter 2001. 14(1) National Association of Forensic Economics. PP 37-51.

Caragonne, Penelope, Ph.D. Evaluating the Work of Life Care Planners: The "Red Flags" Concept. **Determining Economic Damages**. Gerald Martin, Ph.D., Editor. James Publishing Company, Santa Ana, CA. 2001 - 2008 Editions.

Caragonne, Penelope, Ph.D. The Concept of Peer Review: Purpose, Use and Standards. **Scientific versus Non-Scientific and Related Issues of Admissibility of Testimony by Rehabilitation Consultants**. E and F Publishing, September 2002. Athens GA.

Caragonne, Penelope, Ph.D. and Male, Robert, Ph.D. A Life Care Planner and Economist's Perspective on Catastrophic Injury: Developing and Valuing a Life Care Plan for Litigation, in: **The Catastrophic Injury Handbook: Understanding Vocational, Economic, Legal and Clinical Aspects of Complex Physical and Mental Trauma**. Editors: Kenneth N. Anchor, Ph.D., ABDA, James E. Shmerling, DHA and Jessica M. Anchor, MD. Kendall Hunt Publishing Company, December 2002, Dubuque, IA.

Caragonne, Penelope, Ph.D. An Overview of the Field of Life Care Planning, in: **Measuring Loss in Catastrophic Injury Cases**, Lawyers and Judges Publishing Company, Tucson, AZ. 2004.

Caragonne, Penelope, Ph.D. An Analysis of Four Educational Venues for Life Care Planning Certification, in: **The Earnings Analyst**. Dallas, TX. May 2005.

Caragonne, Penelope, Ph.D. Using a Data-Based Approach in Life Care Planning in a "Paperless Office." in **Determining Economic Damages**. Gerald Martin, Ph.D., Editor. James Publishing Company, Santa Ana, CA. 2010 Edition.

Caragonne, Penelope. Automated Assessment Protocol, Case Management Support Needs. A Protocol for Use by Life Care Planners. **Journal of Nurse Life Care Planning**. Salt Lake City, UT. Volume X:No. 3. Pages 237-243. Fall 2010 Edition.

PEER REVIEW ACTIVITIES

National Institute of Mental Health, Bethesda, MD. 1982-1984.

Department of Education, National Institute for Disability Rehabilitation and Research, Washington, DC, 1988-1995.

Department of Health and Human Services, Office of Human Development Services, Office of Program Planning and Evaluation, Washington, DC, 1984 - present.

U.S. Department of Education, Rehabilitation Services Administration, Washington, D.C., Peer Reviewer for Field Based Initiatives, Adapted Recreational Projects, April 1994-April 1995. Invited to participate in 2007 and 2008 Peer Review for the National Institute for Disability and Rehabilitation Research, Washington, DC, but could not participate due to scheduling conflicts.

International Case Management Association, Peer Review Expert Panel Participant, National Panel, Generic and Clinically Specific Guidelines for Case Managers, May 1994 - May 1995.

2008 Annual Forensic Conference, Planning Committee, International Association of Rehabilitation Professionals, November 2007 - October 2008.

NATIONAL AND REGIONAL PROFESSIONAL AFFILIATIONS

National Association of Rehabilitation Professionals in the Private Sector, 1993 to 1999, Director, National Research and Training Committee, 1995 -1997.

Rehabilitation Engineering Society of North America, 1991-1993, 1995-1996, 2001 - 2002, 2004-2005.

Midwest Economics Association, 1993 to 1996.

Missouri Valley Economics Association, 1993 to 1996.

National Association of Forensic Economics, 1993 to 2003.

National Rehabilitation Association, 1994 - 1996.

International Atlantic Economic Society, 1999 to 2001.

International Association of Life Care Planners, 2003 - 2007. Subsumed within International Association of Rehabilitation Professionals, 2007 to present.

Southern Economics Association, 1999 to 2002.

International Association of Rehabilitation Professionals, 2000, 2007- 2010, Member, 2008 Forensic Conference Planning Committee.

American Rehabilitation Economics Association, 2000 to 2008, 2010. Vice-President, May 2001 through 2003. Responsible for planning and implementation of AREA Annual 2002 and 2003 National Conferences. Book Review Editor and Peer Review Panel, The Earnings Analyst.

American Association of Nurse Life Care Planners. 2010. Member, Conference Planning Committee for 2011 Annual Conference, Speaker Section.

VOLUNTEER AND COMMUNITY SERVICE

Reapplied for funding and directed operation of multi-year **\$2.5** million national Research and Demonstration grant funded by the National Institute for Disability and Rehabilitation Research, Washington, DC, 1987-1990.

Founding member and Board Member, Center for Independence and Access 1989-1990, an independent living Center developed and managed by persons with disabilities.

Provided grant writing support and technical consultation to the Connecticut Department of Human Resources in development of two successful statewide **\$550,000** applications for federal funding 1989-1990.

Provided consultation to Stavros Independent Living Center, Amherst, MA in development of their regional planning grant to Robert Woods Johnson Foundation, 1989.

Developed successful **\$250,000** per year grant application for the Greater New Haven Disability Rights Activists for funding by the Division of Rehabilitation Services, 1989.

Professional Advisory Board, Epilepsy Association of Southwestern Illinois, Swansea, IL, a community-based advocacy and service organization for persons with epilepsy, 1990.

Board of Directors, Impact, Alton, IL, an independent living center for persons with disabilities providing technology services, advocacy, peer counseling, information and referral, training in daily living skills, peer counseling and consultation to consumers and organizations regarding compliance with the Americans with Disabilities Act, 1992-1993.

MEMBERSHIP IN LOCAL AND INTERNATIONAL ORGANIZATIONS

Program Planning Committee, 1993 Annual National Conference. President's Committee on Employment of Persons with Disabilities, St. Louis, MO, May 1992-1993.

Program Planning Committee, 1994 St. Louis Conference on Assistive Technology, sponsored by the Missouri Assistive Technology Project, Kansas City, MO, 1993-1994.

Ninos de Chapala y Ajijic, Ajijic, Jalisco, MX. 1993 - 2004.

Ajijic Society for the Arts. Ajijic, Jalisco, MX, 2001 - 2003.

Co-Librarian. Lakeside Little Theater. Ajijic, Jalisco, MX. 2001- 2002.

Lake Chapala Society, Ajijic, Jalisco, MX. 1992 to present.

Northern Lights Music Festival, Sponsor. 2007 - 2009.

Absentee Voter Registration and Absentee Voting Project, US Presidential Election, Ajijic, MX, September - November, 2008.

Viva Musica, Sponsor, 2009.